

**Base Year Modification Request Certification**

**Part 1: Generation Study - No Extrapolation Diversion Data**

To request a substitution for a previously approved base year used in calculating the diversion rate report year generation study for your jurisdiction, please complete and sign this form and return it to your Office of Local Assistance (OLA) representative at the address below, along with any additional information requested by OLA staff. When all documentation has been received, your OLA representative will work with you to prepare for your appearance before the Board. If you have any questions about this process, please call (916) 341-6199 to be connected to your OLA representative.

Mail completed documents to:

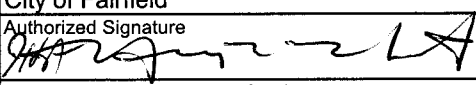
**California Integrated Waste Management Board  
Office of Local Assistance  
1001 I Street, (MS-25)  
PO Box 4025  
Sacramento, CA 95812-4025**

**General Instructions:**

Please select the **ONE** choice below that best explains your request to the Board.

- ☐ 1. Use a recent generation-based study to calculate our current reporting year generation amount, but not officially change our existing Board-approved base year.
- ☒ 2. Use a recent generation-based study to officially change our existing Board-approved base year to a new base year.

The shaded cells on these sheets are protected. If you have problems using these sheets, please contact your Office of Local Assistance representative by calling (916) 341-6199.

Section I: Jurisdiction Information and Certification			
<i>All respondents must complete this section.</i>			
I certify under penalty of perjury that the information in this document is true and correct to the best of my knowledge, and that I am authorized to make this certification on behalf of:			
Jurisdiction Name <b>City of Fairfield</b>		County <b>Solano</b>	
Authorized Signature 		Title <b>City Manager</b>	
Type/Print Name of Person Signing <b>Kevin O'Rourke</b>	Date <b>11/4/04</b>	Phone ( ) Include Area Code <b>707-428-7400</b>	
Person Completing This Form (please print or type) <b>Tammy Bennett</b>		Title <b>Recycling Coordinator</b>	
Affiliation:	<b>City of Fairfield</b>		
Mailing Address	City	State	ZIP Code
<b>1000 Webster St</b>	<b>Fairfield</b>	<b>CA</b>	<b>94533</b>
E-Mail Address	<b><u>tbennett@ci.fairfield.ca.us</u></b>		

Section II: Information for New Generation-Based Study for Existing or New Base Year	
Attach additional sheets if necessary—reference each response to the appropriate cell number (e.g., "4").	
<b>Note: New base years must be representative of a jurisdiction's disposal and diversion.</b>	
1. Current Board-approved existing base year:	2. Proposed new generation-based study year:
1990	2003
3. Explain how the proposed generation study year is representative of average annual jurisdiction disposal and diversion:	
Our current base year is still 1990, our original base year and we recognize that this can not possibly correctly reflect a true marker by which our diversion should be measured. In addition, the City of Fairfield has experienced robust growth residentially, commercially, and industrially since 1990. We intend to demonstrate in our request the true tonnage and diversion.	

4. Enter diversion rate information below.			
Diversion rate calculated using existing base year	a.	%	Diversion rate calculated using new generation-based study
			b. 71%
For existing base year pounds/person/day based on generation		8.5 lbs	For new generation based study pounds/person/day based on generation
			20.01
Existing base year:		New generation based study:	
Residential generation 25 %	Non-Residential generation 75 %	Residential generation 8 %	Non-Residential generation 92 %
Population existing generation-based study 78,650		Population new generation-based study 102,500	
5. Please explain how the new diversion rate is consistent with your current diversion implementation efforts and also explain the specific reasons for the difference.			
The City of Fairfield believes that the original generation study could not accurately estimate or calculate independent recycling. This is demonstrated in Fairfield's case as we are home to one of the Anhauser Busch breweris. A. Busch, alone, generates as much waste as all of Fairfield combined. Fortunately, they recycle, compost, or reuse 96% of that waste. And the only part of that material that is reported is what goes to the hauler which is roughly 200 tons, out of a possible 106,812 possible tons. The current study more accurately represents what is happening in Fairfield.			
6. If the proposed new generation tonnage results in an increase in your pounds per day, please explain how this is consistent with your current diversion implementation efforts and provide examples (e.g., change in jurisdiction's demographics). In addition, If your pounds per person is over the state average of 11.2 pounds, please explain why.			

**Section III - Disposal and Diversion Information**

**1. Disposal Tonnage (enter values):**

76198

30812

107010

Residential

Non-Residential

Total

Please select the **ONE** choice below that best explains your disposal data and complete the required tables.

- ☒ a. All tons claimed are from the Board's Disposal Reporting System (No explanation required. Go to Number 2.)
- ☐ b. All tons claimed are from a 100 percent audit of hauler and self-haul tonnage. (Please complete Reporting Year Tonnage Request and Modification Certification sheet found at [www.ciwmb.ca.gov/LGCentral/Forms/rytnmdrq.doc](http://www.ciwmb.ca.gov/LGCentral/Forms/rytnmdrq.doc) and submit with the new base year study.)
- ☐ c. Some Disposal Reporting System data were corrected. (Please complete Reporting Year Tonnage Modification Request and Certification sheet found at [www.ciwmb.ca.gov/LGCentral/Forms/rytnmdrq.doc](http://www.ciwmb.ca.gov/LGCentral/Forms/rytnmdrq.doc) and submit with the new base year study.)

2. In the table below, list the summarized diversion activities, and diversion data records that support your claim and are available for Board audit. **Note:** *The Board expects the jurisdictions to be able to provide all back-up documentation, if requested.* Include type of record and location—for example, weight tickets from transfer stations. This section should capture all diversion tonnage (form will perform all addition and percentage calculations). If any diversion is from restricted wastes, agricultural wastes, inert solids [e.g., concrete, asphalt, dirt, white goods, and scrap metal,] you must identify those programs and waste types and complete Section VI. Survey forms for the top ten businesses must be included as an attachment with the generation study year and should be identified as Attachment 4a.

(Note: The Board has indicated that total source reduction amounts greater than five percent will be scrutinized. Please be prepared to substantiate the amounts.)

**Note: Detailed Non-Residential waste audit information for the top ten businesses surveyed must be included in Section IV.**

**Please use the Board's program types from the online glossary at:**

<http://www.ciwmb.ca.gov/LGCentral/PARIS/Codes/Reduce.htm>

Diversion Activity	Actual tons	Percent of Total Generation	Specific Material Type(s) (List program w/multiple materials in one box)	Indicate whether Actual Tons or Specific Conversion Factor and Source of Factor	Type of Record (Include copy with submittal)
Please use the Board's program types. The program type glossary is online at: <a href="http://www.ciwmb.ca.gov/LGCentral/PARIS/Codes/Reduce.htm">www.ciwmb.ca.gov/LGCentral/PARIS/Codes/Reduce.htm</a>	(A)	(A/Total Generation)			
<b>Residential Source Reduction Activities</b>					
Backyard composting					
Grasscycling					
<b>Other Residential Source Reduction (list each program separately)</b>					
Enter program name					
Enter program name					
Enter program name					
Enter program name					
Enter program name					
<b>Subtotal, Residential Source Reduction</b>	<b>0</b>	<b>0%</b>			
<b>Residential Recycling Activities</b>					
Curbside Recycling	1051	0%	Paper materials, Glass materials, Plastic materials, aluminum materials	Actual Weights	Based on DOC report
Buyback Centers	2171	1%	Glass, plastic, aluminum	Actual Weights	Based on DOC report
Drop-off Centers	53	0%	Glass, plastic, aluminum, wood, metal, cardboard	Actual Weights	Based on DOC report

Diversion Activity	Actual tons	Percent of Total Generation	Specific Material Type(s) (List program w/multiple materials in one box)	Indicate whether Actual Tons or Specific Conversion Factor and Source of Factor	Type of Record (include copy with submittal)
Please use the Board's program types. The program type glossary is online at: <a href="http://www.ciwmmb.ca.gov/LGCentral/Paris/Codes/Reduce.htm">www.ciwmmb.ca.gov/LGCentral/Paris/Codes/Reduce.htm</a>	(A)	(A/Total Generation)			
<b>Other Residential Recycling (list each program separately)</b>					
Curbside Non-CRV	7553	2%	bi-metal containers, cardboard, chip board, paper	Actual Weight	Total curbside tons (8604) reported on hauler annual report minus DOC annual report tonnage (1051)
Enter program name					
Enter program name					
Enter program name					
Enter program name					
<b>Subtotal, Residential Recycling</b>	<b>10828</b>	<b>3%</b>			
<b>Residential Composting Activities</b>					
Green Waste Drop-off					
Curbside Green Waste	12116	3%	Green Waste & Food Waste	Actual Weight	Per hauler annual report
Christmas Tree Program					
<b>Other Residential Composting (list each program separately)</b>					
Enter program name					
Enter program name					
Enter program name					
Enter program name					
Enter program name					
<b>Subtotal, Residential Composting</b>	<b>12116</b>	<b>3%</b>			
<b>Subtotal, Residential Diversion</b>	<b>22944</b>	<b>6%</b>			
<b>Non-Residential Source Reduction Activities:</b>					
Non-Residential Waste Audits	104956	28%	Detailed information must be included in Section V	Detailed information must be included in Section V	Detailed information must be included in Section V
<b>Other Non-Residential Source Reduction (list each program separately)</b>					
Golf Course Grasscycling	2523	1%	Grass	332 Total Mowable Acres x 7.6 tons	Golf Course Maintenance Department
City Parks and Buildings Grasscycling	1900	1%	Grass	250 Total Mowable Acres x 7.6 tons	City Public Works
Park Recycling / Dry Leaves	480	0%	Dry Leaves	2794 cu yards of dry leaves @ 1 cu yrd = 343.7 lbs	City Public Works measured material, Board conversion factors were used by TB to determine weight. No actual weight was taken.
Park Recycling / Chip Trees	111	0%	Chip Trees	4780 cu yds of green pruning @ 1 cu yrd = 46.69 lbs	City Public Works measured material, Board conversion factors were used by TB to determine weight. No actual weight was taken.
Commercial & Ind. Green Recycling	5832	2%	Green waste	Actual Weight	Based on annual hauler report
<b>Subtotal, Non-Residential Source Reduction</b>	<b>115802</b>	<b>31%</b>			

Diversion Activity	Actual tons	Percent of Total Generation	Specific Material Type(s) (List program w/multiple materials in one box)	Indicate whether Actual Tons or Specific Conversion Factor and Source of Factor	Type of Record (Include copy with submittal)
Please use the Board's program types. The program type glossary is online at: <a href="http://www.ciwrmb.ca.gov/LGCentral/Paris/Codes/Reduce.htm">www.ciwrmb.ca.gov/LGCentral/Paris/Codes/Reduce.htm</a>	(A)	(A/Total Generation)			
<b>Non-Residential Recycling Activities:</b>					
<b>Non-Residential Waste Audits</b>	100257	27%	Detailed information must be included in Section V	Detailed information must be included in Section V	Detailed information must be included in Section V
<b>Other Non-Residential Recycling (list each program separately)</b>					
					Based on monthly reports from maintenance organization
Enter program name					
Enter program name					
Enter program name					
Enter program name					
<b>Subtotal Non-Residential Recycling</b>	100257	27%			
<b>Non-Residential Composting Activities</b>					
<b>Non-Residential Waste Audits</b>	18587	5%	Detailed information must be included in Section V	Detailed information must be included in Section V	Detailed information must be included in Section V
<b>Other Non-Residential Composting (list each program separately)</b>					
Enter program name					
Enter program name					
Enter program name					
Enter program name					
Enter program name					
<b>Subtotal Non-Residential Composting</b>	18587	5%			
<b>Subtotal Non-Residential Diversion</b>	234646	63%			
<b>Other Waste Material Activities</b>					
(Note: If you are unable to provide the actual residential/non-residential split, please provide your best estimates of the split in each program type or put all the diversion under non-residential.)					
<b>Residential</b>					
ADC	9657	3%	Green Material	Actual Weight	Jurisdictional Disposal by Facility Report
Sludge (must submit sludge cert form)					
Scrap Metal					
Construction and Demolition					
Landfill Salvage					
Other (e.g., ag waste)					
<b>Subtotal Residential Waste</b>	9657	3%			
<b>Non-Residential</b>					
ADC					
Sludge (must submit sludge cert form)					
Scrap Metal					
Construction and Demolition					
Landfill Salvage					
Other (e.g., ag waste)					
<b>Subtotal Non-Residential Waste</b>	0	0%			

Diversion Activity	Actual tons (A)	Percent of Total Generation (A/Total Generation)	Specific Material Type(s) (List program w/multiple materials in one box)	Indicate whether Actual Tons or Specific Conversion Factor and Source of Factor	Type of Record (include copy with submittal)
Please use the Board's program types. The program type glossary is online at: <a href="http://www.clwmb.ca.gov/LGCentral/Paris/Codes/Reduce.htm">www.clwmb.ca.gov/LGCentral/Paris/Codes/ Reduce.htm</a>					
Subtotal Residential/ Non-Residential Other Waste	9657	3%			
Total Residential/Non-Residential Source Reduction Tons	115802	31%			
Total Diversion Tons	267247	71%			
Total Disposal Tons from Number 1	107010	29%			
Total Generation Tons (Div+Dis)	374257				
<b>NEW GENERATION STUDY</b>					
<b>DIVERSION RATE</b>	71%				
<b>Additional information for Report Year Calculations - Biomass and Transformation Activities (Note: you cannot claim both biomass and transformation.)</b>					
Biomass (must submit biomass cert form and must be 10% or less-- use the calculator to calculate)					
Transformation					
Report Year Diversion Rate with Biomass or Transformation Credit					

## Section IV - Specific Non-Residential Sector Waste Audits

### 1. Top 10 Non-Residential Generators

Please complete this table for the top ten non-residential businesses that were surveyed. Use the business type in lieu of the specific business name.(e.g., grocery store vs. Safeway) List each non-residential business separately from largest to smallest, based on total diversion tons. Audit reference number should be the same number used to identify businesses on the survey/audit sheets, and must correlate to the Section V spreadsheet.

Type of Non-Residential Generator	Audit Reference Number	Specific/Major Diversion Activities Include Material Type (e.g., paper recycling, grasscycling). (List activities on one line)	Source Reduction Tons	Recycling Tons	Composting Tons	Total Diversion Tons	Percent of Total Generation (Total Diversion Tons/Total Generation in Section III)	Survey Method Phone (P) Mail (M) On-site (O) Other ____
Brewery	1	Cardboard, white paper, Aluminum cans, scrap metal, yard waste, cullet glass, spent grain, plastic strapping		106493	165	106658	28.5%	On-Site - Report
Concrete Recycler	2	Concrete & Steel		47014		47014	12.6%	P/Fax
Grocery Store	3	Bakery waste, bone/fat, grease/oil, paper, newspaper, OCC, pallets, phone books, plastic pallets, produce trim, stretch film, wax OCC		27396	7668	35064	9.4%	P/Fax
Grocery Store	4	Bakery waste, bone/fat, grease/oil, paper, newspaper, OCC, pallets, phone books, plastic pallets, produce trim, stretch film, wax OCC		27396	7668	35064	9.4%	P/Fax
Totals				208299	15501	223800	59.8%	

Also complete Section V which includes all of the businesses surveyed. Use the type of business and audit reference number in lieu of the specific business name. For each business include the diversion activity and material type and associated tonnage for each diversion activity/material type, and applicable conversion factors and sources. Copies of the audit survey form(s) for each of the top ten businesses **must** be included as an attachment.

Section V - Non-Residential Generator Audit Diversion Spreadsheet							
Worksheet is unlocked to allow modification (e.g., , adding ten rows and a subtotal row to the table for each generator). If you have any questions, please contact your OLA Representative at (916) 341-6199.							
Non-Residential Generator Audit Diversion							
Non residential Generator Survey/Audit Identification Number	Generator Type (Example - grocery store, retail, manufacturer)	Material Type (Example - cardboard, glass, plastic, etc.)	Specific Conversion Factor and Source or Actual Weight	Source Reduction (Tons)	Recycling (Tons)	Composting (Tons)	Total Tons
1	Brewery	Spent Grain	Actual weight	104956	0	0	104956
		Glass (cullet)	Actual weight	0	630	0	630
		Aluminum	Actual weight (double counted?)	0	46	0	46
		Cardboard	Actual weight	0	647	0	647
		Plastic Strapping	Actual weight	0	19	0	19
		Scrap Metal	Actual weight (restricted waste?)	0	184	0	184
		Green Waste (# of Mowable Acres)	Actual weight	0	0	165	165
		White Paper	Actual weight	0	11	0	11
Subtotal -				104956	1537	165	106658
2	Concrete Recycler	Concrete (restricted waste?)	Actual weight	0	46934	0	46934
		Steel (restricted waste?)	Actual weight	0	80	0	80
Subtotal -				0	47014	0	47014
3	Grocery Store	Bakery Waste	Actual weight	0	0	188	188
		Bone/Fat	Actual weight	0	0	1700	1700
		Produce Trim	Actual weight	0	0	5780	5780
		Paper	Actual weight	0	75	0	75
		Newspaper	Actual weight	0	3	0	3
		OCC	Actual weight	0	25,047	0	25047
		Phone Books	Actual weight	0	45	0	45
		Plastic Pallets	Actual weight	0	197	0	197
		Stretch Film	Actual weight	0	486	0	486
		Wax OCC	Actual weight	0	0	1543	1543
Subtotal -				0	25853	9211	35064



Page14

Page15

Page16

Page17

## Section VI - Restricted Waste

For each restricted waste type (i.e., agricultural waste, inert solids, [e.g. concrete, asphalt, dirt, etc.] scrap metals and white goods [PRC section 41781.2]) and associated program or generator, please provide the following information:

**1. If the diversion program started on or after January 1, 1990, complete the following table.**

**Note:** *Specific Program Name refers to one specific diversion program for that waste type (e.g., "Diversion conducted by city public waste dept.") Please input the complete program name with business type if appropriate.*

Restricted Waste Type	Audit Reference Number	Specific Program Name	Year Started	Tonnage
Pull Down for Waste Types ▼				
Pull Down for Waste Types ▼				
Pull Down for Waste Types ▼				
Pull Down for Waste Types ▼				
Pull Down for Waste Types ▼				
Pull Down for Waste Types ▼				

**2. If the diversion program started before January 1, 1990 - and if documentation on the program and waste type has not been approved by the Board, on a separate sheet marked "Attachment Section VI. 2", provide the documentation that indicates:**

- How the diversion was the result of a local action taken by the jurisdiction, which specifically resulted in the diversion (PRC sec. 41781.2 [c] [1]).
- That the amount of that waste type diverted from the jurisdiction in 1990 was less than or equal to the amount of that waste type disposed at a permitted disposal facility by the jurisdiction in any year before 1990. (**Note:** this criterion is applicable to the entire jurisdiction, not to individual programs (PRC sec. 41781.2 [c] [2]). Please include documentation.
- The jurisdiction is implementing, and will continue to implement, the diversion programs in its source reduction and recycling element.

**Note:** *If documentation for a waste type and program has already been approved by the Board, you do not have to provide an "Attachment Section VI.2" for that waste type and program.*

Instead please provide date of Board approval of previously submitted information.

\_\_\_\_\_ (Date)

If documentation is not available, go to Number 4.

**3. If the diversion program started before January 1, 1990, and the documentation requested in Section VI.2" is available (but not yet approved by the Board), complete the table below for each program claimed:**

Restricted Waste Type	Audit Reference Number	Specific Program Name	New Base Year or Reporting Year Diversion Tonnage
Pull Down for Waste Types ▼			
Pull Down for Waste Types ▼			
Pull Down for Waste Types ▼			
Pull Down for Waste Types ▼			
Pull Down for Waste Types ▼			
Pull Down for Waste Types ▼			

**4. If the diversion program started before January 1, 1990, and the documentation requested in Sect.VI.2 is not available, please complete the table below for each program claimed. **Note :** Only the difference between the new base year/reporting year and 1990 can be counted in the diversion rate calculation. The 1990 tonnage must be substantiated.**

Restricted Waste Type	Audit Reference Number	Specific Program Name	New Base Year or Reporting Year Tonnage	1990 Diversion Tonnage	Difference
Pull Down for Waste Types ▼					
Pull Down for Waste Types ▼					
Pull Down for Waste Types ▼					
Pull Down for Waste Types ▼					
Pull Down for Waste Types ▼					
Pull Down for Waste Types ▼					